#### Required Bid and Contract Documents City of Kenosha HOME Program Projects

This is a Federally funded project. The contractor and subcontractors must comply with HUD procurement provisions in CFR 2 Part 200.318, Equal Employment Opportunity requirements, Section 3 of the Housing and Urban Development Act and other requirements as set forth in the bid documents. Minority and Women Owned Business Enterprises and Section 3 Business Concerns seeking bid opportunities under this project are encouraged to respond.

Enclosed is a set of documents related to compliance with Federal and local requirements projects under the City of Kenosha's HOME program:

- 1. Federal Contract Provisions
- 2. Equal Opportunity
  - a. Equal Opportunity Clause
  - b. Standard Federal Equal Employment Opportunity Construction Contract Specifications
  - c. Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity
  - d. MBE/WBE Listing
- 3. Section 3
  - a. Section 3 Clause
  - b. Section 3 Contractor Guide
  - c. Section 3 Contractor List
- 4. Required Contractor Documents
  - a. Section 3 Plan Clause Certification
  - b. Pre-award Compliance Certifications
  - c. Labor Hours Tracking Form
  - d. Sub-Contractor Information Sheet
  - e. Section 3 Summary Report
  - f. Section 3 Final Report
  - g. Section 3 Business Application
  - h. Section 3 Worker Application
  - i. Conflict of Interest Form

# Federal Contract Provisions

#### Federal Contract Provisions

- 1. Contracts other than small purchases shall contain administrative, contractual or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as may be appropriate.
- 2. All contracts in excess of \$10,000 shall contain suitable provision for termination for cause and for convenience by the grantee, including the manner by which it will be effected and the basis for settlement.
- 3. All contracts awarded in excess of \$10,000 by grantees and their contractors or subgrantees shall contain a provision requiring compliance with Executive Order 11246, entitled "Equal Employment Opportunity," as amended by Executive Order 11375, and as supplemented in Department of Labor regulations (41 CFR Part 60).
- 4. All contracts and subgrants for construction or repair shall include a provision for compliance with the Copeland "Anti-Kickback" Act (18 USC 874) as supplemented in Department of Labor regulations (29 CFR, Part 3).
- 5. All construction contracts in excess of \$2,000 must include a provision for compliance with the <u>Davis-Bacon Act</u> (40 USC 276a to a-7) as supplemented by Department of Labor regulations (29 CFR, Part 5).
- 6. Where applicable, all contracts awarded by grantees and subgrantees in excess of \$2,000 for construction contracts and in excess of \$2,500 for other contracts which involve the employment of mechanics or laborers shall include a provision for compliance with Section 103 and 107 of the Contract Work Hours and Safety Standards Act (40 USC 327-330) as supplemented by the Department of Labor regulations (29 CFR, Part 5).
- 7. The contract shall include notice of requirements and regulations pertaining to reporting and patent rights respect to any discovery or invention which arises or is developed in the course of or under such contract, and of grantor agency requirements and regulations pertaining to copyrights and rights in data.
- 8. All negotiated contracts awarded by grantees shall include a provision to the effect the grantee, the Comptroller General of the United States, or any of their duly authorized representatives, shall have access to any books, documents, papers, and records of the contractor which are directly pertinent to that specific contract, for the purpose of making audit, examination, excerpts, and transcriptions.

- 9. Grantees shall require contractors to maintain all required records for three years after grantees make final payments and all other pending matters are closed.
- 10. Contracts, subcontracts, and subgrants of amounts in excess of \$100,000 shall contain a provision which requires compliance with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 USC 1857(h)), Section 508 of the Clean Water Act (33 USC 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR, Part 15), which prohibit the use of non-exempt Federal contracts, grants, or loans of facilities included on the EPA List of Violating Facilities. The provision shall require reporting of violations to the grant agency and to the USEPA Assistance Administrator for Enforcement (EN-329).
- 11. Contracts shall recognize mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

# Equal Opportunity

#### EQUAL OPPORTUNITY CLAUSE Executive Order 11246, as amended: 41 CFR Part 60-1.4(b) During

#### the performance of this contract, the contractor agrees as follows:

(1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.

(3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this Section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(4) The contrator will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(5) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(7) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, That in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

#### STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (Executive Order 11246: 41 CFR Part 60.4.3)

1. As used in these specifications:

a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;

*b.* "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;

c. "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.

d. "Minority" includes:

(i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);

(ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);

(iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and

(iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors to take good faith efforts to achieve the Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7 a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has

employees in the covered area. Covered Construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted constuction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the FEDERAL REGISTER in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.

6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:

a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.

d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations. e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.

f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newpaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with onsite supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.

i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

*j.* Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.

*k.* Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR part 60-3.

*l.* Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out. *n.* Ensure that all facilities and company activities are nonsegregated except that separate or singleuser toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

*p.* Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).

10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

11. The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

(b) The notice set forth in 41 CFR 60-4.2 and the specifications set forth in 41 CFR 60-4.3 replace the New Form for Federal Equal Employment Opportunity Bid Conditions for Federal and Federally Assisted Construction published at 41 FR 32482 and commonly known as the Model Federal EEO Bid Conditions, and the New Form shall not be used after the regulations in 41 CFR part 60-4 become effective.

#### NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY Executive Order 11246: 41CFR Part 60-2

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Time	Goals for minority participation for each	Goals for female participation in each
tables	trade	trade
	3.0%	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the CDBG Program Administrator within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is the City of Kenosha, Wisconsin.

# <u>Minority Owned Business Enterprises (MBEs) Listing by County</u> (Source: State of Wisconsin Dept of Commerce MBE/WBE Website)

Kenosha County Minority Businesses Listed (As of 3/30/23)

#### Anayas Auto Repair, Inc.

2515 52nd Street
Kenosha, WI 53140
Business Telephone: (262)652-1441
Business Fax: (262)652-1345
Contact: Monica Anaya
Email Address: anayasautorepair@gmail.com
Web Address: www.anayasautorepair@gmail.com
Product: General Automotive Repair – 811111, Automotive Exhaust System Repair – 81112, Other
Automotive Mechanical, Electrical Repair & Maintenance – 81118, All other Automotive Repair & Maintenance - 81198
Date Certification Expires: April 16,2025

#### Drywall Plus Inc.

8020 328<sup>th</sup> Avenue
Burlington, WI 53105
Business Telephone: (262) 537-4249
Contact: Woodrow Edgell
Email Address: woodshell84@yahoo.com
Product: Structural Steel and Precast Concrete Contractors – 238120, Drywall and Insulation
Contractors – 238310, All Other Specialty Trade Contractors - 238990
Date Certification Expires: June 30, 2023

#### Land-Quest Construction LLC

4419 Washington Avenue
Kenosha, WI 53144
Business Telephone: (262) 658-1400
Business Fax: (800) 552-5790
Contact: Ryan Douglas
Email Address: ryan@myland-quest.com
Website Address: https://www.mylandquest.com
Product: New Single-Family Housing Construction(except Operative Builders)-236115, New Multifamily
Housing construction (except Operative Builders)-236116, New Housing Operative Builders - 236117, Residential Re-modelers - 236118
Date Certification Expires: December 5, 2023

#### Land-Quest Realty LLC (Expired as of 3/30/23)

4419 Washington Road
Kenosha, WI 53144
Business Telephone: (262) 658-1400
Contact: Ryan Douglas
Email Address: ryan@myland-quest.com
Product: Offices of Real Estate Agents and Brokers-531210, Residential Property Managers - 531311, Nonresidential Property Managers - 531312, Other Activities Related to Real Estate - 531390
Date Certification Expires: October 10, 2023

#### Minda, LLC (Expired as of 3/30/23)

231 30th Avenue
Kenosha, WI 53144
Business Telephone: (262) 552-2862
Contact: Jenna VerBruggen
Email Address: mindawis@yahoo.com
Product: Water and Sewer Line and Related Structure Construction-237110
Date Certification Expires: February 17, 2023

#### Moore & Associates, Inc.

6530 Sheridan Rd Ste # 3 Kenosha, WI 53143 Business Telephone: (262) 605-1444 Business Fax: (262) 605-1404 Contact: Tony Moore Email Address: tmoore@mooreandassociates.biz Website Address: https://www.mooreAndAssociates.biz Product: Child Day Care Services - 624410 Date Certification Expires: April 8, 2025

#### TGAR Group, Inc.

1213 55th Street Suite 102 Kenosha, WI 53140-3665 **Business Telephone:** (262) 818-4620 **Contact:** Anthony Garza **Email Address:** tonyg@tgar.biz **Product:** Architectural Services – *541310* **Date Certification Expires:** April 23, 2026

#### TWD Tradewinds, Inc.

10555 86<sup>th</sup> Avenue Pleasant Prairie, WI 53158 Business Telephone: (888) 323-3585 Contact: John Wang Email Address: jwang@twdtradewinds.com Web Address: https://twdtradewinds.com Product: All Other Miscellaneous Manufacturing - 339999 Date Certification Expires: MBE – 12/5/22, WBE - 12/6/22

DesignsTouch, LLC
201 6<sup>th</sup> Street
Racine, WI 53403
Business Telephone: (262) 417-7044
Contact: Nim (Naimish) Joshi
Email Address: info@designstouch.com
Web Address: https://www.designstouch.com
Product: Software Publishers – *511210*, Data Processing, Hosting, and Related Services – *518210*, Internet Publishing and Broadcasting and Web Search Portals – *519130*, All Other Information Services – *519190*, Graphic Design Services – *541430*, Custom Computer Programming Services – *541511*, Marketing Consulting Services – *541613*, 541810 - *541810*Date Certification Expires: May 18, 2023

Electrical Systems & Services, Inc. 9180 Charles Street Sturtevant, WI 53177 Business Telephone: (262) 886-9400 Business Fax: (262) 886-2800 Contact: P. Jay Datt Email Address: jaydatt@esselectric.com Web Address: www.esselectric.com Product: Electrical Contractors and Other Wiring Installation Contractors – 238210 Date Certification Expires: June 29,2023

Frazier Support Services Inc. bda Peace of Mind

245 Main Street Mezo Suite Racine, WI 53402 Business Telephone: (262) 395-0133 Contact: Arletta Frazier Email Address: <u>a</u>fraziertucker@gmail.com Product: Educational Support Services – *611710*, Other residential Care Facilities - *623990* Date Certification Expires: September 7, 2025

Gold Ink Consulting 3233 Indiana Street, Apartment 15 Racine, WI 53405 Business Telephone: (262) 902-3270 Contact: Tanisha Stokes Email Address: info@goldinkconsulting.com Web Address: https://www.goldinkconsulting.com Product: Junior Colleges – 611210, Colleges, Universities, and Professional Schools – 611310, Child and Youth Services - 624110 Date Certification Expires: August 30, 2023

Harmonizing Essentials LLC 1353 Westlawn Avenue Racine, WI 53405 Business Telephone: (262)498-1020 Contact: SheVentra Jackson Email Address: sheventrajackson@gmail.com Product: Packaging and Labeling Services - 561910 Date Certification Expires: February 18, 2025

Innovative Dynamic Networks 610 Sixth Street Racine, WI 53403 Business Telephone: (262)995-1190 Business Fax: (414)921-0404 Contact: Andrea Ramos Email Address: angel.ramos@idn-wi.com Web Address: www.idn-wi.com

**Product:** Electrical Contractors and Other Wiring Installation Contractors – 238210, Telecommunications Resellers – 517911, Computer Systems Design Services – 541512, Other Computer Related Services – 541519, Computer and Office Machine Repair and Maintenance – 811212, Communication Equipment Repair and Maintenance – 811213, Other Electronic and Precision Equipment Repair and Maintenance - 811219

Date Certification Expires: January 30, 2026

Intercity Supply & Bag Co.,LLC 2100 De Koven Avenue Racine, WI 53403 Business Telephone: (262)637-1306 Business Fax: (262)637-4447 Contact: Jackie Dyess Email Address: intercity@ameritech.net Web Address: www.intercitysupply.com Product: Furniture Stores - 442110 Date Certification Expires: August 2, 2025

Ivan Barra Films LLC1405 16th StreetRacine, WI 53403Business Telephone: (262) 770-5423Contact: Ivan BarraEmail Address: ivanbarrafilms@gmail.comWeb site: https://www.ivanbarrafilms.comProduct: Motion Picture and Video Production - 512110Date Certification Expires: March 18, 2024

Jaramillo Contractors, Inc. 4709 County Road H Franksville, WI 53126 Business Telephone: (262) 886-3740 Business Fax: (262) 886-5840 Contact: Francisco Jaramillo Email Address: francisco@jaracontractors.com Product: Industrial Building Construction – 236210, Site Preparation Contractors – 238910, All Other Specialty Trade Contractors – 238990, Landscaping Services - 561730 Date Certification Expires: March 7, 2025

Lakeside Family Therapy Services, LTD 4810 Northwestern Avenue Racine, WI 53406 Business Telephone: (262) 637-9984 Business Fax: (262) 637-9995 Contact: Jacqueline McRae Email Address: jmcrae@lakesidefamilytherapy.com Product: Child and Youth Services – 624110, Other Individual and Family Services - 624190 Date Certification Expires: September 3, 2025

Lovingkindess AFH LLC 5801 Washington Avenue, Ste. 99 Mount Pleasant, WI 53406 Business Telephone: (262) 977-7310 Contact: Lakesha Davis Email Address: info@lovingkindnesshome.com Web site: www.lovingkindnesshome.com Product: Other Residential Care Facilties – 623990, Rooming and Boarding Houses - 721310 Date Certification Expires: February 18, 2025

M&J Krueger Trucking LLC 1706 N Beaumont Avenue Kansasville, WI 53139 Business Telephone: (414) 233-1069 Contact: Karen Krueger Email Address: karen@mjkruegertrucking.com Web site: https://mjkruegertrucking.com/ Product: Specialized Freight (except Used Goods) Trucking, Local - 484220 Date Certification Expires: February 20, 2023

Pro 2 Pave, Inc. 21490 W 6 Mile Road Franksville, WI 53126 Business Telephone: (262) 895-0440 Contact: Craig Clements Email Address: elizabeth@pro2pave.com Web site: www.pro2pave.com Product: Highway, Street and Bridge Construction – 237310, All Other Specialty Trade Contractors -238990 Date Certification Expires: July 14, 2025

Pro Electric, Inc. 21500 6 Mile Rd Franksville, WI 53126 Business Telephone: (262)289-1900 Business Fax: (262)289-1901 Contact: Craig Clements Email Address: info@proelectricinc.us Web site: www.proelectricinc.us Product: Power and Communication Line & related Structures Construction-237130, Other Heavy and Civil engineering Construction-237990, Electrical Contractors and other Wiring Installation Contractors-238210, Site Preparation Contractors-238910 Date Certification Expires: July 14, 2023

Quick Weld12623 Northwestern AvenueFranksville, WI 53126Business Telephone: (262) 744-4136Contact: Abimael GutierrezEmail Address: gutierrezaby@gmail.comProduct: Industrial Building Construction – 236210, Highway, Street and Bridge Construction – 237310,Other Heavy and Civil Engineering Construction – 237990, Structural Steel and Precast ConcreteContractors – 238120, All Other Specialty Trade Contractors -238990, Ornamental and ArchitecturalMetal Work Manufacturing – 332323, Other Industrial Machinery Manufacturing – 333249, OtherMetalworking Machinery Manufacturing – 333518, General Freight Trucking, Local – 484110, GeneralWarehousing and Storage - 493110Date Certification Expires: June 9, 2023

Qute Tip Nails LLC 2310 S Green Bay Road Racine, WI 53406 Business Telephone: (262)260-9466 Contact: Tatreana Mayfield Email Address: Qutetipnails@yahoo.com Web site: www.Qutetipnails.com Product: Beauty Salons – *812112*, Nail Salons - *812113* Date Certification Expires: July 8, 2025

Redmond's Lawn Care and Landscaping LLC 4055 Nantucket Place Racine, WI 53405 Business Telephone: (262)554-0930 Business Fax: (262)554-1363 Contact: Booker Redmond Email Address: carolynredmond1044@yahoo.com Product: Landscaping Services – 561730 Date Certification Expires: May 4, 2024

Safe Abatement For Everyone Inc (S.A.F.E. Inc.) 2807 Beck Dr. Waterford, WI 53185 Business Telephone: (262) 960-9552 Business Fax: (262) 654-7168 Contact: Craig Murdock Email Address: craig@safeabatement.com Website Address: https://www.safeabatement.com Product: Environmental Consulting Services – *541620*, Remediation Services - *562910* Date Certification Expires: December 31, 2025

Servantez Law Offices S.C. 4101 Washington Avenue Racine, WI 53405 Business Telephone: (262) 634-1529 Contact: Felix Servantez Email Address: servantezlaw@gmail.com Website Address: https://www.servantezlaw.com/ Product: Offices of Lawyers - 541110 Date Certification Expires: November 12, 2024

SGT HAUL 8902 Red Hawk Cr Mount Pleasant, WI 53406 Business Telephone: (262) 260-9283 Contact: Juan Gomez Email Address: juan@sgthaul.com Website Address: www.sgthaul.com Product: Site Preparation Contractors – 238910, Solid Waste Landfill - 562212 Date Certification Expires: March 1, 2026

Spire Top Pest Control LLC 915 College Avenue Racine, WI 53403 Business Telephone: (414) 322-3942 Contact: Tommie Jones Email Address: Spiretoppcllc@yahoo.com Product: Exterminating and Pest Control Services - 561710 Date Certification Expires: February 16, 2025

Supermax Security Systems LLC.
5540 Ridgecrest Drive
Mount Pleasant, WI 53403
Business Telephone: (262) 676-2807
Contact: Lonnie Brim
Email Address: ceo@supermaxsecuritysys.com
Website Address: https://www.supermaxsecuritysys.com
Product: All Other Specialty Trade Contractors – 238990, Security Systems Services (except Locksmiths) – 561621, Locksmiths – 561622, Communication Equipment Repair and Maintenance - 811213
Date Certification Expires: June 3, 2023

TaylorMade Wealth Management1100 N. Main StreetRacine, WI 53402Business Telephone: (800)501-9668Business Fax: (262)995-1341Contact: Isaac WoodsEmail Address: iwoods@taylormywealth.comWebsite Address: www.taylormywealth.comProduct: Offices of Certified Public Accountants - 541211Date Certification Expires: January 10, 2026

TNL Drywall, LLC 6023 Golf Ridge Drive Racine, WI 53402 Business Telephone: (262) 681-8510 Business Fax: (262) 681-8524 Contact: Laura Ibarra Email Address: tnldrywall@att.net Product: New Single-Family Housing Construction (except Operative Builders) – 236115, New Multifamily Housing Construction (except Operative Builders) – 236115, New Multifamily Housing Construction (except Operative Builders) – 236115, New Multifamily Housing Construction (except Operative Builders) – 236116, New Housing Operative Builders – 236117, Residential Remodelers - 236118 Date Certification Expires: September 25, 2024

Underwood and Sons, LLC (Expired as of 3/30/23) 1919 Mead Street Racine, WI 53403 Business Telephone: (414) 775-7007 Contact: Scott Underwood Email Address: info@underwoodand sonsllc.com Product: General Freight Trucking, Local - 484110 Date Certification Expires: March 16, 2025

Wade's Cleaning Service, LLC 2752 Deer View Court Mount Pleasant, WI 53406 Business Telephone: (414) 627-5157 Contact: Jerrod Wade Email Address: wadescleaningservicellc@gmail.com Product: Janitorial Services - *561720* Date Certification Expires: July 1, 2025

## Women Owned Business Enterprises (WBEs) Listing by County (Source: State of Wisconsin Dept of Commerce MBE/WBE website)

Kenosha County Women Businesses Listed (As of 3/30/23)

Adam Enterprises, Inc.

29128 52<sup>nd</sup> Street
Salem, WI 53168
Business Telephone: (262) 537-2049
Business Fax: (262) 537-3771
Contact: Holly Marotta
Email Address: adam\_enterprises@yahoo.com
Product: Construction Sand and Gravel Mining – 212321, Water, Sewer Line and Related Structures
Construction – 237110, Power & Communication Line and Related Structures Construction – 237130,
Other Heavy and Civil Engineering Construction – 237990, Site Preparation Contractors – 238910, Brick,
Stone and Related Construction Material Merchant Wholesalers – 423320, Specialized Freight (except Used Goods) Trucking, Local – 484220
Date Certification Expires: January 22, 2024

AmeSpire Construction LLC 8643 Chaucer Cir W Bristol, WI 53104 Business Telephone: (414) 333-3493 Contact: Tamara Ames Email Address: tames80@gmail.com Product: Other Scientific and Technical Consulting Services - 541690 Date Certification Expires: February 21, 2026

Andrea & Orendorff, LLP 6300 76th Street, STE 200 Kenosha, WI 53142 Business Telephone: (262)657-7716 Business Fax: (262)657-6191 Contact: Ryan Goerres Email Address: terris@aocpa.net Website Address: www.aocpa.net Product: Offices of Certified Public Accountants — 541211, Tax Preparation Services – 541213, Other Accounting Services — 541219, 541990 - 541990 Date Certification Expires: May 24, 2024

# Kenosha County Women Businesses Listed (As of 3/30/23)

Benson Enterprises, Inc. b/d/a USA Mobile Drug Testing of South Milwaukee
6021 56<sup>th</sup> Avenue Ste. 102A
Kenosha, WI 53142
Business Telephone: (262)484-9999
Business Fax: (855)778-5857
Contact: Lori Benson
Email: lori.benson@usamdt.com
Web Address: www.usamdt.com/local/South-Milwaukee
Product: Medical Laboratories- 621511, All Other Miscellaneous Ambulatory Healthcare Services-621999
Date Certification Expires: June 5, 2023

DK Contractors, Inc 11013 122<sup>nd</sup> Street Pleasant Prairie, WI 53158 Business Telephone: (262)857-7414 Business Fax: (262)857-2927 Contact: Micky Day Email: mickyday@dkcontractors.net Product: Water and Sewer Line & Related Structures Construction – 237110, Highway, Street and Bridge Construction – 237310, Site Preparation Contractors - 238910 Date Certification Expires: June 13, 2023

Great People Management, LLC 15214 73<sup>rd</sup> Street Kenosha, WI 53142 Business Telephone: (704) 528-2460 Contact: Tatyana St. Germain Email Address: tatyana@greatpeoplewin.com Website Address: https://www.greatpeoplewin.com Product: Human Resources Consulting Services – 541612, Other Management Consulting Services – 541618, Professional and Management Development Training - 611430 Date Certification Expires: January 3, 2026

Lakeview Organizing, DBA Lakeview Closets (Expired as of 3/30/23) 1040 29<sup>th</sup> Avenue Kenosha, WI 53140 Business Telephone: (262) 909-3650 Contact: Jacquelyn Zielinski Email Address: info@lakeviewclosets.com Website Address: https://www.lakeviewclosets.com Product: Other Building Finishing Contractors - 238390 Date Certification Expires: December 17, 2022

### Kenosha County Women Businesses Listed (As of 3/30/23)

Mediterranean Specialty Supplies, LLC 3815 14<sup>th</sup> Avenue Kenosha, WI 53140 Business Telephone: (262) 657-5846 Contact: Mavra Papadatos Email Address: MP@MAVRASGREEKOIL.COM Website Address: www.mavrasgreekoil.com Product: Fats and Oils Refining and Blending – *311225*, Mayonnaise, Dressing and Other Prepared Sauce Manufacturing – *311941*, All Other Specialty Food Stores - *445299* Date Certification Expires: January 5, 2026

Minda, LLC (Expired as of 3/30/23) 231 30th Avenue Kenosha, WI 53144 Business Telephone: (262)552-2862 Business Fax: (262)552-2862 Contact: Jenna VerBruggen Email Address: mindawis@yahoo.com Product: Water and Sewer Line and Related Structure Construction-237110 Date Certification Expires: February 17, 2023

Premier Planning Partners, Inc 1608 41<sup>st</sup> Avenue Kenosha, WI 53144 Business Telephone: (262) 552-8094 Business Fax: (262) 552-8098 Contact: Jorry Heinrich-Rode Email address: jorry@3p-inc.com Web Address: http://www.3P-Inc.com Product: All Other Miscellaneous Store Retailers (except Tobacco Stores) – 453998, Travel Agencies - 561510, Convention and Trade Show Organizers – 561920, All Other Support Services - 561990 Date Certification Expires: March 23, 2025

TWD Tradewinds, Inc. 10555 86th Avenue Pleasant Prairie, WI 53158 Business Telephone: (888) 323-3585 Contact: John Wang Email Address: jwang@twdtradewinds.com Web Address: https://twdtradewinds.com/ Product: All Other Miscellaneous Manufacturing - 339999 Date Certification Expires: December 6, 2025

Absolute Construction Enterprises, Inc 6618 6 Mile Road Racine, WI 53402 Business Telephone: (262)456-6802 Business Fax: (262)456-2117 Contact: Tracy Stacy Email Address: tstacy@absoluteconstruct.com Web Address: www.absoluteconstruct.com Product: New Single-Family Housing Construction (except Operative Builders)-236115; New Multifamily Housing Construction (except Operative Builders)-236115; New Multifamily Housing Construction (except Operative Builders)-236116; Residential Re-modelers-236118; Industrial Building Construction-236210; Commercial and Institutional Building Construction-236220; Poured Concrete Foundation & Structure Construction-238110; Framing Contractors-238130; Siding Contractors-238170, Finish Carpentry Contractors-238350 Date Certification Expires: March 13, 2025

Arbor, Earth & Stone, LLC 157 Riverside Drive Waterford, WI 53185 Business Telephone: (262)514-2039 Contact: Kimberly Migazzi Email address: arborearthandstone@gmail.com Web Address: www.arborearthandstone.com Product: Landscaping Services - 561730 Date Certification Expires: May 23, 2024

Bon Voyage Cruise & Vacations, Inc d/b/a/ Bon Voyage World Travel Experts 116 North Milwaukee Street Waterford, WI 53185 Business Telephone: (262)514-2022 Business Fax: (262)514-2024 Contact: Kari Mullikin Email Address: info@bvworldtravel.com Web site: www.bvworldtravel.com Product: Travel Agencies - *561510* Date Certification Expires: June 15, 2023

Bukacek Construction Group, Inc.
2429 Summit Avenue
Racine, WI 53404
Business Telephone: (262) 637-9791
Contact: Andrea Bukacek
Email Address: abukacek@bukacek.com
Web Address: https://www.bukacek.com
Product: Industrial Building Construction – 236210, Commercial and Institutional Building Construction – 236220, Poured Concrete Foundation and Structure Contractors – 238110, Framing Contractors – 238130, Masonry Contractors – 238140, Other Foundation, Structure, and Building Exterior Contractors – 238190, Flooring Contractors – 238330, Finish Carpentry Contractors – 238350, Other Building Finishing Contractors – 238390, Architectural Services - 541310
Date Certification Expires: May 22, 2026

#### EHSE Management Solutions LLC

305 South Britton Road
Union Grove, WI 53182
Business Telephone: (262) 331-0570
Contact: Audrey Van Dyke
Email Address: audreyvandyke175@yahoo.com
Web Address: https://ehsesolutions.com/
Product: Other Management Consulting Services – 541618, Environmental Consulting Services – 541620, Other Scientific and Technical Consulting Services - 541690
Date Certification Expires: June 15, 2025

#### EJ Waldron Transport Inc

3228 N Britton Road
Union Grove, WI 53182
Business Telephone: (414) 403-7382
Business Fax: (262) 895-6116
Contact: Julie Waldron
Email Address: jwaldron@ejwaldrontransport.com
Web Address: https://ejwaldrontransport.com
Product: General Freight Trucking, Local – 484110, General Freight Trucking, Long-Distance, Truckload – 484121, General Freight Trucking, Long-Distance, Less Than Truckload – 484122, Specialized Freight (except Used Goods) Trucking, Local – 484220, Specialized Freight (except Used Goods) Trucking, Long-Distance – 484230, Freight Transportation Arrangement – 488510, Process, Physical Distribution and Logistics Consulting Services - 541614
Date Certification Expires: January 7, 2025

Evaluation Research Services 433 Melvin Avenue Racine, WI 53402 Business Telephone: (262)930-8695 Contact: Therese Fellner Email Address: therese@evalresearchservices.com Product: Other Management Consulting Services – 541618 Date Certification Expires: September 28, 2023

Frazier Support Services Inc. bda Peace of Mind
245 Main Street Mezo Suite
Racine, WI 53402
Business Telephone: (262) 395-0133
Contact: Arletta Frazier
Email Address: afraziertucker@gmail.com
Product: Educational Support Services – 611710, Other Residential Care Facilities - 623990
Date Certification Expires: September 7, 2025

Geneva Healthcare, LLC 826 Mohr Aveune Waterford, WI 53185 Business Telephone: (262)767-9870 Business Fax: (262)767-9871 Contact: Deanna Vlach Email Address: dvlach@genevahc.com Web Address: www.genevahc.com Product: Surgical Appliance & Supplies Manufacturing-339113 Date Certification Expires: April 26, 2023

Gold Ink Consulting 3233 Indiana Street, Apt. 15 Racine, WI 53405 Business Telephone: (262) 902-3270 Contact: Tanisha Stokes Email Address: info@goldlinkconsulting.com Web Address: https://www.goldlinkconsulting.com Product: Junior Colleges - 611210, Colleges, Universities, and Professional Schools – 611310, Child and Youth Services - 624110 Date Certification Expires: September 5, 2023

Guins Trucking LLC (Expired as of 3/30/23) 9713 W 7 Mile Road Franksville, WI 53126 Business Telephone: (414) 331-3346 Contact: Martha Sanchez Email Address: guinmartha3030@gmail.com Product: Other Support Activities for Road Transportation - 488490 Date Certification Expires: January 8, 2025

Harmonizing Essentials LLC
1353 Westlawn Avenue
Racine, WI 53405
Business Telephone: (262) 498-1020
Contact: SheVentra Jackson
Email Address: sheventrajackson@gmail.com
Product: Packaging and labeling Services - 561910
Date Certification Expires: February 18, 2025

Hoffman Landscape, LLC
4569 W. 5 Mile Road
Caledonia, WI 53108
Business Telephone: (262)770-5473
Business Fax: (262)835-4097
Contact: Katharina Hoffman
Email Address: hoffmanlandscapellc@gmail.com
Web Address: www.hoffmanlandscape.com
Product: Landscaping Services - 561730
Date Certification Expires: July 14, 2025

L&S Ventures, LLC 6 Sprucewood Court Racine, WI 53402 Business Telephone: (262) 412-9979 Contact: Sandra Swager Email Address: sandy@LSVentures.com Product: Other Management Consulting Services - 541618 Date Certification Expires: November 2, 2024

Lakeside Family Therapy Services, LTD 4810 Northwestern Avenue Racine, WI 53406 Business Telephone: (262) 637-9984 Business Fax: (262) 637-9995 Contact: Jacqueline McRae Email Address: jmcrae@lakesidefamilytherapy.com Product: Child and Youth Services – 624110, Other Individual and Family Services - 624190 Date Certification Expires: August 30, 2025

Lighting Elements, LLC 25718 Portsmouth Road Wind Lake, WI 53185 Business Telephone: (414) 975-9394 Contact: Michelle Klein Web Address: lightingelements.design Product: Other Specialized Design Services - 541490 Date Certification Expires: January 27, 2026

Lovingkindness AFH LLC 5801 Washington Avenue, Ste. 99 Mount Pleasant, WI 53406 Business Telephone: (262) 977-7310 Contact: Lakesha Davis Email Address: info@lovingkindnesshome.com Web Address: www.lovingkindnesshome.com Product: Other Residential Care Facilities – 623990, Rooming and Boarding Houses - 721310 Date Certification Expires: February 18, 2025

Lynch & Associates – Engineering Consultants, LLC 440 Milwaukee Avenue Burlington, WI 53105 Business Telephone: (262) 402-5040 Business Fax: (262) 402-5046 Contact: Jodi Lynch Email Address: jlynch@lynch-engineering.com Web Address: https://lynch-engineering.com Product: Land Subdivision – 237210, Engineering Services – 541330, Surveying and Mapping (excpet Geophysical) Services – 541370, Process, Physical Distribution and Logistics Consulting Services -541614

Date Certification Expires: June 9, 2023

M&J Krueger Trucking LLC 1706 N Beaumont Avenue Kansasville, WI 53139 Business Telephone: (414) 233-1069 Contact: Karen Krueger Email Address: karen@mjkruegertrucking.com Web Address: https://mjkruegertrucking.com Product: Specialized Freight (except Used Goods) Trucking, Local - 484220 Date Certification Expires: February 20, 2023

Mrs. Myers' Reading Room 1100 Commerce Drive Mount Pleasant, WI 53406 Business Telephone: (262) 497-7270 Business Fax: (877) 540-0135 Contact: Tammy Myers Email Address: office@prtherapy123.com Web Address: https://mrsmyersrr.com/ Product: Exam Preparation and Tutoring – 611691, Educational Support Services - 611710 Date Certification Expires: July 19, 2024

Nelson Bros & Strom Co., Inc. 2137 Roosevelt Avenue Racine, WI 53406 Business Telephone: (262)632-1651 Business Fax: (262)632-1653 Contact: Mary Kay Hall Email Address: Marykay@nelsonbrothersandstrom.com Web Address: www.nelsonbrothersandstrom.com Product: Machine Shops – *332710*, Commercial and Industrial Machinery and Equipment (except Automotive and Electronic) Repair and Maintenance - *811310* Date Certification Expires: July 15, 2024

Perma-Structo, Inc 9420 Charles Street Sturtevant, WI 53177 Business Telephone: (262)886-2258 Business Fax:(262)886-3026 Contact: Patti Beaudin Email Address: perma@permastructo.com Website Address: www.permastructo.com Product: Poured Concrete Foundation and Structure Contractors - 238110, Other Foundation, Structure, and Building Exterior Contractors - 238190 Date Certification Expires: January 16, 2025

Personnel Incorporated 621 Four Mile Road Racine, WI 53402 Business Telephone: (262)639-5666 Business Fax: (262) 639-1765 Contact: Gail B. Toler Email Address: persincgail@tds.net Web Address: www.personnelincorporated.net Product: Employment Placement Agencies - 561311 Date Certification Expires: November 8, 2025

Postorino Decorating, Inc. 9508 B Michigan Avenue Sturtevant, WI 53177 Business Telephone: (262) 633-7805 Business Fax: (262) 633-1678 Contact: Joe Kumpfer Email Address: joekumpfer@welookbeyond.com Web Address: https://postorinocf.com/ Product: Painting and Wall Covering Contractors – 238320, Finish Carpentry Contractors - 238350 Date Certification Expires: March 10, 2025

Qute Tip Nails LLC 2310 S Green Bay Road Racine, WI 53406 Business Telephone: (262) 260-9466 Contact: Tatreana Mayfield Email Address: Qutetipnails@yahoo.com Web Address: www.Qutetipnails.com Product: Beauty Salons – *812112*, Nail Salons – *812113* Date Certification Expires: July 8, 2025

Reed Switch Developments Corp. 2524 Norwood Court Racine, WI 53403 Business Telephone: (262) 883-9060 Business Fax: (262) 883-9065 Contact: Debra Dahlin Email Address: ddahlin@reedswitchdevelopments.com Web Address: www.reedswitchdevelopments.com Product: All Other Miscellaneous Electrical Equipments and Component Manufacturing - 335999 Date Certification Expires: August 13, 2024

Straightline Grading & Excavating, LLC 3046 W 5 Mile Road Caledonia, WI 53108 Business Telephone: (262)835-2738 Business Fax: (262)835-3434 Contact: Christine Miklaszewski Email Address: straightlinegrading@yahoo.com Web Address: www.straightlinegrading.com Product: Highway, Street, and Bridge Construction-237310, Site Preparation Contractors-238910 Date Certification Expires: February 23, 2026

VAK Installation Services, LLC 9320 Michigan Avenue Sturtevant, WI 53172 Business Telephone: (262)909-4552 Contract: Vicki Wishau Email Address: vickiw@vakflooring.com Web Address: www.vakflooring.com Product: Flooring Contractors – 238330 Date Certification Expires: November 28, 2025

Waterford Truck Service, Inc.821 Ela AveWaterford, WI 53185Business Telephone: (262)534-6327Business Fax: (262)478-1322Contact: Dana GriffithEmail Address: wtsdump@waterfordtruck.comWeb Address: https://waterfordtruckservice.comProduct: Site Preparation Contractors - 238910, Specialized Freight (except Used Goods)Trucking Local - 484220

# Section 3

#### Section 3 CLAUSE 24 CFR Part 135.38, Effective July 1, 2021

#### All Section 3 covered contracts shall include the following clause (referred to as the Section 3 clause):

A. The work to be performed under this Contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u ("Section 3"). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, including persons who are recipients of HUD assistance for housing, with a preference for both targeted workers living in the service area or neighborhood of the Development and YouthBuild participants, as defined at 24 CFR Part 75 ("Section 3 Regulations).

*B.* The Parties agree to comply with HUD's regulations in Section 3 Regulations, which implement Section 3. As evidenced by their execution of this Contract, the Parties certify that they are under no contractual or other impediments that would prevent them from complying with Section 3 Regulations.

*C.* The Award Recipient, Contractor, or Development Owner agrees to send to each labor organization or representative of workers with which the Award Recipient, Contractor, or Development Owner has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference and shall set forth the following: (i) minimum number and job titles subject to hire, (ii) availability of apprenticeship and training positions, (iii) qualifications for each (iv) the name and location of the person(s) taking applications for each of the work shall begin.

D. The Award Recipient, Contractor, or Development Owner agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in Section 3 Regulations, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor violates the regulations in Section 3 Regulations. The Award Recipient, Contractor, or Development Owner will not subcontract with any subcontractor where the Award Recipient, Contractor, or Development Owner has notice or knowledge that the subcontractor has been found in violation of the regulations in Section 3 Regulations.

*E.* The Award Recipient, Contractor, or Development Owner will certify that any vacant employment positions, including training positions, that are filled (i) after a contractor is selected but before the contract is executed, and (ii) with persons other than those to whom the regulations of Section 3 Regulations require employment opportunities to be directed, were not filled to circumvent the Award Recipient, Contractor, or Development Owner's obligations under Section 3 Regulations.

*F.* Noncompliance with HUD's regulations in Section 3 Regulations may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

## **1. OVERVIEW OF SECTION 3 REQUIREMENTS** A. WHAT IS SECTION 3?

Section 3 is a provision of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) that is regulated by the provisions of 24 CFR 75. Section 3 regulations ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing Federal, State and local laws and regulations, be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns which provide economic opportunities to low- and very low-income persons.

## **B. APPLICABILITY**

For housing and community development financial assistance, this plan applies to housing rehabilitation, housing construction, and other public construction projects that exceed \$200,000 or more of housing and community development financial assistance from one or more HUD programs. Applicability is determined at the project level.

For projects funded with Lead and Hazard Control and Healthy Homes Programs, this plan applies to projects that exceed \$100,000.

This plan also applies to projects that include multiple funding sources. Multiple funding source projects include projects that include public housing financial assistance, housing and community development financial assistance for single or multiple recipients, and the Lead Hazard Control and Healthy Homes Program.

Section 3 requirements **do not** apply to: 1) Material Supply Contracts - § 75.3(b), 2) Indian and Tribal Preferences - § 75.3(c), and 3) Other HUD assistance and other Federal assistance not subject to Section 3 §75.3 (d). However, for financial assistance that is not subject to Section 3, recipients are encouraged to consider ways to support the purpose of Section 3.

## 2. CERTIFICATION OF PRIORITIZATION OF EFFORT FOR EMPLOYMENT, TRAINING, AND CONTRACTING

#### EMPLOYMENT AND TRAINING

Under the City of Kenosha's Section 3 Program, contractors and subcontractors should make best efforts to provide employment and training opportunities to Section 3 workers in the priority order listed below:

Provide employment and training opportunities to Section 3 workers within Kenosha County in the priority order listed below:

- a) Section 3 workers residing within the service area or the neighborhood of the project, and
- b) Participants in YouthBuild programs.

Contractors and subcontractors will be required to certify that they will and have made best efforts to follow the prioritization of effort requirements prior to the beginning work and after work is completed.

#### CONTRACTING

Under the City of Kenosha's Section 3 Program, contractors and subcontractors must make their best efforts to award contracts and subcontracts to business concerns that provide economic opportunities to Section 3 workers in the following order or priority:

a) Business concerns that provide economic opportunities to Section 3 workers residing within Kenosha County in the following order of priority (*where feasible*):

1) Section 3 business concerns that provide economic opportunities to Section 3 workers residing within the service area or the neighborhood of the project; and

2) YouthBuild programs.

Contractors and subcontractors will be required to certify that they will and have made best efforts to follow the prioritization of effort requirements prior to the beginning work and after work is completed.

## 3. Section 3 Eligibility and Certifications

Individuals and businesses that meet Section 3 criteria may seek Section 3 preference from City of Kenosha or its contractors/subcontractors for training, employment, or contracting opportunities generated by housing and community development financial assistance. To qualify as a Section 3 worker, Targeted Section 3 worker or a Section 3 business concern, each must self-certify that they meet the applicable criteria.

Businesses who misrepresent themselves as Section 3 business concerns and report false information to City of Kenosha may have their contracts terminated as default and be barred from ongoing and future considerations for contracting opportunities.

# A. SECTION 3 WORKER AND TARGETED SECTION 3 WORKER CERTIFICATION

A Section 3 worker seeking certification shall submit self-certification documentation to the recipient contractor or subcontractor, that the person is a Section 3 worker or Targeted Section 3 worker as defined in 24 CFR Part 75. For the purposes of Section 3 worker eligibility, City of Kenosha will use individual income rather than family/household income to determine eligibility. The income limits will be determined annually using the guidelines published at <a href="https://www.huduser.org/portal/datasets/ii.html">https://www.huduser.org/portal/datasets/ii.html</a>.

Persons seeking the Section 3 worker preference shall demonstrate that it meets one or more of the following criteria currently or when hired within the past five years, as documented:

1) A low or very low-income resident (the worker's income for the previous or annualized calendar year is below the income limit established by HUD); or

- 2) Employed by a Section 3 business concern; or
- 3) A YouthBuild participant.

Persons seeking the Targeted Section 3 worker preference shall demonstrate that it meets one or more of the following criteria:

- 1) Employed by a Section 3 business concern or
- 2) Currently meets or when hired met at least one of the following categories as documented within the past five years:
  - a) Living within the service area or the neighborhood of the project, as defined in 24 CFR Part 75.5; or
  - b) A YouthBuild participant.

Section 3 workers and Targeted Section 3 workers who are seeking preference in training and employment must submit the Resident Seeking Section 3 Preference in Training and Employment Certification Form. The certification procedure will consist of the following:

- 1) Submission of Certification form to the Department of City Development
- 2) City Development will verify Section 3 and Targeted Section 3 eligibility and will compile a list of eligible Section 3 and Targeted Section 3 residents
- 3) Data on eligible Section 3 and Targeted Section 3 residents will be provided to project contractor and subcontractors

### PROJECTS INVOLVING MULTIPLE SOURCES OF FUNDING

In cases where Section 3 covered projects include multiple sources of funds, including public housing financial assistance and housing and community development assistance, the City of Kenosha must follow the definition of Targeted Section 3 worker and priorities as outlined in subpart B of Part 75. For housing and community development financial assistance, City of Kenosha may follow either subpart B or subpart C of Part 75.

In cases where Section 3 covered projects include multiple housing and development funding sources (financial assistance) from single or multiple recipients, City of Kenosha will follow subpart C of Part 75. Refer to chart in <u>Appendix B</u>.

#### B. SECTION 3 BUSINESS CONCERN CERTIFICATION

The City of Kenosha, should encourage contractors and subcontractors to make best efforts to award contracts and subcontracts to Section 3 business concerns.

Businesses that believe they meet the Section 3 Business requirements can may self-register in the HUD Business registry, here: <a href="http://www.hud.gov/Sec3Biz">http://www.hud.gov/Sec3Biz</a>. Businesses may seek Section 3 Business Concern preference by demonstrating that it meets one or more of the following criteria:

- 1) At least 51 percent of the business is owned and controlled by low- or very low-income persons; or
- 2) At least 51 percent of the business is owned and controlled by current public housing residents or residents who currently live in Section 8-assisted housing; or

3)Over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 workers.

Businesses that seek Section 3 preference shall certify, or demonstrate to City of Kenosha, contractors or subcontractors, that they meet the definitions provided in the above. Businesses may demonstrate eligibility by submitting the Section 3 Business Concern Certification Form, located at: https://www.kenosha.org/departments/city-development-new/community-development-cd/equal-employment-opportunity-cd.

Section 3 Business Concern Certification Forms must be submitted at the time of bid/proposal. If the City of Kenosha previously approved the business concern to be Section 3 certified, then the certification can be submitted along with the bid, as long as the form is submitted within the prescribed expiration date. The Section 3 Business Concern Certification Form will expire after one (1) year. Establishing a one year certification of eligibility period allows the City of Kenosha the ability to assess contractor performance toensure the business is striving to meet the required goals.

## 4. Assisting Contractors with Achieving Section 3 Goals

In an effort to assist contractors with meeting or exceeding the Section 3 goals, City of Kenosha will do the following:

- Share Section 3 Plan with contractors and subcontractors and explain policies and procedures
- Require contractors wishing to submit a bid/offer/proposal to attend pre-bid meeting
- Require contractor to sign the Section 3 Plan at pre-construction conference
- Review Section 3 benchmarks and prioritization of effort with contractors and subcontractors to ensure that the goals are understood. It is not intended for contractors and subcontractors to terminate existing employees, but to make every effort feasible to meet Section 3 benchmark goals by utilizing existing qualified workforce and by considering qualified eligible Section 3 workers and Targeted Section 3 workers (per the prioritization of effort outlined in Section #3) before any other person, when hiring additional employees is needed to complete proposed work to be performed with CDGB, CDBG-CV, HOME or HOME-ARP funded projects.
- At the time of bid, require the contractor to present a list, of the number of total labor hours, Section 3 worker labor hours, and Targeted Section 3 worker labor hours expected to be generated from the initial contract and a list of projected number of available positions, to include job descriptions and wage rates.
- Maintain a local Section 3 worker/Targeted Section 3 worker database and provide the contractor with a list of interested and qualified Section 3 workers and Targeted Section 3 workers and contact information.
- Inform contractors about the HUD Section 3 Opportunity Portal\_ <u>https://hudapps.hud.gov/OpportunityPortal/</u>
- Require contractors to notify Section 3 Coordinator of their interests regarding employment of Section 3 workers prior to hiring.
- Encourage local business to register on the HUD Business Registry and direct contractors to the HUD Section 3 Business Registry <u>https://www.hud.gov/section3businessregistry</u>
- Leverage City of Kenosha's communication outlets (social media, website, etc.) to effectively communicate employment and contracting opportunities that arise.
- Require contractors to submit a list of core employees (including administrative, clerical, planning and other positions pertinent to the construction trades) at the time of contact award.

## 5. Section 3 Contracting Policy and Procedure

City of Kenosha will incorporate Section 3 in its existing Procurement Policy and adopt a Section 3 Contracting Policy and Procedure to be included in all procurements generated for use with HUD funding. This policy and procedure contain requirements for making efforts to award contracts to Section 3 Business Concerns.

All contractors/businesses seeking Section 3 preference must, before submitting bids/proposals to the City of Kenosha be required to complete certifications, as appropriate. Such certifications shall be adequately supported with appropriate documentation as referenced in the Section 3 Business Concern Certification Form.

## 6. Section 3 Provisions/Contract Language

City of Kenosha will include standard Section 3 language in all of its contracts to ensure compliance with regulations in 24 CFR Part 75. City of Kenosha will take appropriate actions upon finding that a contractor is in violation of 24 CFR Part 75 and does not knowingly contract with any contractor that has been found in violation of the Section 3 regulations. On a periodic basis the Section 3 Coordinator will audit City of Kenosha contractors for compliance with the minimum Section 3 requirements outlined in the Section 3 Plan.

In addition, contractors and subrecipients are required to include language in all Section 3 covered contracts or agreements for subcontractors to meet the requirements of 24 CFR Part 75.19 for housing and community development financial assistance.

For businesses, noncompliance with HUD's regulations in 24 CFR part 75 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

## 7. Reporting Requirements

For Section 3 covered contracts, contractors must submit the Section 3 Performance and Summary Report to City of Kenosha's Section 3 Coordinator on a monthly basis, and the annual reporting requirement set forth in that form's instructions.

#### A. QUARTERLY REPORTING

Contractors are required to submit quarterly activity reports to City of Kenosha's Section 3 Coordinator
[tgeliche@kenosha.org for CDBG projects or mmaki@kenosha.org for HOME Program projects] by the 15th day of each
month.

#### **B. ANNUAL REPORTING**

1) Once a project is completed, contractors must submit a final Section 3 cumulative report for the program year with the final project invoice.

2) Upon the completion of a project, City of Kenosha's Section 3 Coordinator will conduct a final review of the project's overall performance and compliance.

3) City of Kenosha's Section 3 Coordinator will submit the Section 3 data into IDIS to HUD at prior to the project completion in IDIS.

4) Complaints of non-compliance should be filed in writing and must contain the name of the complainant and brief description of the alleged violation of 24 CFR Part 75.

5) Complaints must be filed prior to payment of final payment to contractor.

6) An investigation will be conducted if complaint is found to be valid. City of Kenosha will conduct an informal, but thorough investigation affording all interested parties, if any, an opportunity to submit testimony and/or evidence pertinent to the complaint.

7) The City of Kenosha will provide written documentation detailing the findings of the investigation. The City of Kenosha will review the findings for accuracy and completeness before it is released to complainants. The findings will be made available no later than thirty (30) days after the filing of complaint. If complainants wish to have their concerns considered outside of the City of Kenosha a complaint may be filed with:

## 8. DEFINITIONS

The terms HUD, Public housing, and Public Housing Agency (PHA) are defined in 24 CFR part 5.

The following definitions also apply to 24 CFR Part 75 HUD's Economic Opportunities for Low-and Very Low-Income Persons:

1937 Act means the United States Housing Act of 1937, 42 U.S.C. 1437 et seq. activities related to Public Housing

Contractor means any entity entering into a contract with:

(1) A recipient to perform work in connection with the expenditure of public housing financial assistance or for work in connection with a Section 3 project; or

(2) A subrecipient for work in connection with a Section 3 project.

Labor hours means the number of paid hours worked by persons on a Section 3 project or by persons employed with funds that include public housing financial assistance.

*Low-income person* means a person as defined in Section 3(b)(2) of the 1937 Act, at or below 80% AMI. Note that Section 3 worker eligibility uses individual income rather than family/household income.

*Material supply contracts* means contracts for the purchase of products and materials, including, but not limited to, lumber, drywall, wiring, concrete, pipes, toilets, sinks, carpets, and office supplies.

Professional services means non-construction services that require an advanced degree or professional licensing, including, but not limited to, contracts for legal services, financial consulting, accounting services, environmental assessment, architectural services, and civil engineering services.

Public housing financial assistance means assistance as defined in 24 CFR Part 75.3(a)(1).

Public housing project is defined in 24 CFR 905.108.

*Recipient* means any entity that receives directly from HUD public housing financial assistance or housing and community development assistance that funds Section 3 projects, including, but not limited to, any State, local government, instrumentality, PHA, or other public agency, public or private nonprofit organization.

Section 3 means Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701u).

Section 3 business concern means:

(1) A business concern meeting at least one of the following criteria, documented within the last six-month period:

(i) It is at least 51 percent owned and controlled by low- or very low-income persons;

(ii) Over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 workers; or

(iii) It is a business at least 51 percent owned and controlled by current public housing residents or residents who currently live in Section 8-assisted housing.

(2) The status of a Section 3 business concern shall not be negatively affected by a prior arrest or conviction of its owner(s) or employees.

(3) Nothing in this part shall be construed to require the contracting or subcontracting of a Section 3 business concern. Section 3 business concerns are not exempt from meeting the specifications of the contract.

Section 3 Coordinator is person tasked with overseeing all Section 3 responsibilities for the PHA/CD office.

Section 3 project means a project defined in 24 CFR Part 75.3(a)(2).

Section 3 worker means:

- (1) Any worker who currently fits or when hired within the past five years fit at least one of the following categories, as documented:
  - (a) The worker's income for the previous or annualized calendar year is below the income limit established by HUD.
  - (b) The worker is employed by a Section 3 business concern.
  - (c) The worker is a YouthBuild participant.
- (2) The status of a Section 3 worker shall not be negatively affected by a prior arrest or conviction.

(3) Nothing in this part shall be construed to require the employment of someone who meets this definition of a Section 3 worker. Section 3 workers are not exempt from meeting the qualifications of the position to be filled.

Section 8-assisted housing refers to housing receiving project-based rental assistance or tenant-based assistance under Section 8 of the 1937 Act.

Service area or the neighborhood of the project means an area within one mile of the Section 3 project or, if fewer than 5,000 people live within one mile of a Section 3 project, within a circle centered on the Section 3 project that is sufficient to encompass a population of 5,000 people according to the most recent U.S. Census.

Small PHA means a public housing authority that manages or operates fewer than 250 public housing units.

Subcontractor means any entity that has a contract with a contractor to undertake a portion of the contractor's obligation to perform work in connection with the expenditure of public housing financial assistance or for a Section 3 project.

Subrecipient has the meaning provided in the applicable program regulations or in 2 CFR 200.93.

*Targeted Section 3 worker* has the meanings provided in 24 CFR Part 75.11, 75.21, or 75.29, and does not exclude an individual that has a prior arrest or conviction.

Very low-income person means the definition for this term set forth in section 3(b)(2) of the 1937 Act (at or below 50% AMI).

YouthBuild programs refers to YouthBuild programs receiving assistance under the Workforce Innovation and Opportunity Act (29 U.S.C. 3226).

## <u>Section 3 Business Registry Listing by County</u> <u>(Source: HUD Section 3 Business Registry)</u>

#### Kenosha County Section 3 Businesses Listed (As of 03/30/23)

#### Land Quest Construction LLC

4419 Washington Road Kenosha, WI 53144 Business Telephone: (262) 658-1400 Contact: Ryan Douglas Email Address: ryan@myland-quest.com Website Address: http://www.mylandquest.com/

**Product:** Carpentry, electrical, general contractor, HVAC, lead hazard control, painting dry wall, plumbing **Section 3 Status:** 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### Milwaukee Metro Section 3 Businesses Listed (As of 03/30/23)

#### **AUIG Consulting**

11414 W Park PI
Milwaukee, WI 53224
Business Telephone: (919) 907-2684
Contact: Will Easter
Email Address: admin@auigconsulting.com
Product: Consulting, Business Consulting/Management Business Credit and Funding Solutions
Section 3 Status: 51% of business owned by Section 3 residents, 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### **Building Blocks for the Elderly LLC**

3862 N 27<sup>th</sup> Street
Milwaukee, WI 53216
Business Telephone: (414) 837-8032
Contact: Office
Email Address: buildingblocks4theelderly@gmail.com
Website Address: https://buildingblocks4the.wixsite.com
Product: Consulting, Non-medical Supportive Care
Section 3 Status: 51% of business owned by Section 3 residents

## Construction ASAP, LLC

1587 S Moorland Rd. #101 New Berlin, WI 53151 Business Telephone: (414) 403-3451 Contact: Viola Hammelman Email Address: constructionasap@outlook.com Website Address: http://constructionasap.com/ Product: Consulting, Workforce compliance participation and reporting Section 3 Status: 51% of business owned by Section 3 residents

#### Create Me Colorful Inc.

6879 N 42<sup>nd</sup> Street
Milwaukee, WI 53209
Business Telephone: (414) 368-7151
Contact: Chiquita Watts
Email Address: createmecolorful@gmail.com
Product: General Contractor, New Development
Section 3 Status: 51% of business owned by Section 8 residents

#### Cross Management Services, Inc

315 W. Court Street Suite 200
Milwaukee, WI 53212
Business Telephone: (414)449-4920
Contact: Carla Cross
Email Address: ccross@cross-management.com
Product: Consulting, General Contractor, Real Estate Broker
Section 3 Status: 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### Diva de Luxe Salon Suites and Training Center

5404 North Lovers Lane Road Milwaukee, WI 53225 Business Telephone: (414) 803-6704 Contact: Imani Ryan Email Address: Imani@divasdeluxe.com Website: https://www.divasdeluxe.com Product: Consulting, Marketing Section 3 Status: 51% of business owned by Section 3 residents

#### **ExploreUSTV and Travel**

3020 W. Vliet Street Milwaukee, WI 53208 Business Telephone: (414) 551-1371 Contact: Ruben Hopkins Email Address: exploreustv@gmail.com Website: http://exploreustv.com Product: IT, Radio/Television/Film Section 3 Status: 51% of business owned by Section 3 residents, 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### Flanders Residential Maintenance, Inc.

2150 S Danny Road
New Berlin, WI 53146
Business Telephone: (262) 524-8338
Contact: Adam Flanders
Email Address: adam@flandersmaintenance.com
Product: Landscaping, Maintenance
Section 3 Status: 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

### Install Carpet, LLC

3116 South 15<sup>th</sup> Place
Milwaukee, WI 53215
Business Telephone: (414) 559-2277
Contact: Sol Raquel Bass
Email Address: joe@install-carpet.net
Product: General Contractor, Flooring
Section 3 Status: 51% of business owned by Section 3 residents

#### Kids First Childcare

3258 N 1<sup>st</sup> Street, Ring
Milwaukee, WI 53212
Business Telephone: (414) 522-5692
Contact: Tatiana
Email Address: kidsfirstchild@gmail.com
Website Address: https://www.facebook.com/kidsfirstchildcare
Product: General Contractor, Childcare Provider
Section 3 Status: 51% of business owned by Section 3 residents, 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### Lockwood & Lockwood Services

2323 S. 109<sup>th</sup> Street, Suite 120C
West Allis, WI 53227
Business Telephone: (414) 659-0736
Contact: Douglas Lockwood Sr
Email Address: lockwoodservices07@gmail.com
Website Address: https://www.lockwoodservices.wixsite.com/lockwood---lockwood
Product: Accounting, Consulting
Section 3 Status: 51% of business owned by Section 3 residents

## Love is Patient Medical Supplies LLC

4945 N 74<sup>th</sup> Street
Milwaukee, WI 53218
Business Telephone: (414) 616-1716
Contact: Latia Ference
Email Address: info@lipms.com
Website Address: https://lipms.com
Product: Security, E-Commerce Medical Supplies and Equipment Store
Section 3 Status: 51% of business owned by Section 3 residents

#### Love with Care Home Healthcare LLC

6222 W Capitol Dr, Suite 202
Milwaukee, WI 53216
Business Telephone: (414) 212-8201
Contact: Lechelle Yarbrought
Email Address: lechelley@lovewithcare.org
Website Address: https://ww.lovewithcarehhc.com
Product: Consulting, In-home care/Home Health
Section 3 Status: 51% of business owned by Section 3 residents

#### Marvin L Walker & Associates, Inc

201 W. Coventry Ct. Suite 316 Glendale, WI 53217 Business Telephone: (414) 807-3820 Contact: Marvin L Walker Email Address: support@marvinlwalkerandassociates.com Website Address: https://marvinlwalkerandassociates.com Product: Consulting Section 3 Status: 51% of business owned by Section 3 residents

#### MuSample

4201 North 27<sup>th</sup> Street Milwaukee, WI 53210 Business Telephone: (414) 394-4044 Contact: Aichelle White Email Address: Hello@musample.com Website Address: https://www.musample.com/ Product: Consulting, Music Administration Services Section 3 Status: 51% of business owned by Section 3 residents

#### Po' Manz Food LLC

4876 N 37<sup>th</sup> Street
Milwaukee, WI 53209
Business Telephone: (414) 699-4809
Contact: Cheticka Cotton
Email Address: pomanzfood@gmail.com
Product: Consulting, Food & Catering
Section 3 Status: 51% of business owned by Section 3 residents

#### **Roofed Right America**

429 W. Boden Street
Milwaukee, WI 53207
Business Telephone: (414) 349-5009
Contact: Khary Penebaker (414) 349-5009
Email Address: khary@roofedright.com
Website Address: https://www.roofedright.com/
Product: Roofing, Roofing & Sheet Metal
Section 3 Status: 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

### Shun's Online Deals

4108 W Good Hope Road
Milwaukee, WI 53209
Business Telephone: (414) 775-7550
Contact: Shun
Email Address: <a href="mailto:shunonlinedeals@gmail.com">shunonlinedeals@gmail.com</a>
Website Address: <a href="https://www.facebook.com/Shunonlinedeals/">https://www.facebook.com/Shunonlinedeals/</a>
Product: Engineering, Jewelry
Section 3 Status: 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers, 51% of business owned by section 3 residents

### Tystarr LLC

4950 W Medford Ave
Milwaukee, WI 53216
Business Telephone: (646) 409-7861
Contact: Tyler Copes
Email Address: tystarr@tystarr.com
Website Address: http://www.Tystarr.com
Product: Signage/Graphics
Section 3 Status: 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers, 51% of business owned by section 3 residents

#### **WHIRE Electrical Services**

P.O. Box 242203
Milwaukee, WI 53224
Business Telephone: (866) 481-2358
Contact: Jim Gaillard (414) 403-1127
Email Address: jimmywhire@gmail.com
Product: Demolition, Electrical, Training
Section 3 Status: 51% of business owned by section 3 residents, 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

#### Wisconsin Black Chamber of Commerce, Inc.

3020 W Vliet Street Milwaukee, WI 53208 Business Telephone: (414)306-6460 Contact: Ruben Hopkins Email Address: <u>admin@twbcc.com</u> Website Address: <u>https://www.twbcc.com</u> Product: Consulting

**Section 3 Status:** 51% of business owned by section 3 residents, 75% of the labor hours performed for the business over the prior three-month period were performed by Section 3 workers

## Required Contractor Documents

#### Section 3 CLAUSE 24 CFR Part 135.38, Effective July 1, 2021

All Section 3 covered contracts shall include the following clause (referred to as the Section 3 clause):

*G.* The work to be performed under this Contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u ("**Section 3**"). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, including persons who are recipients of HUD assistance for housing, with a preference for both targeted workers living in the service area or neighborhood of the Development and YouthBuild participants, as defined at 24 CFR Part 75 ("**Section 3 Regulations**).

*H. The Parties agree to comply with HUD's regulations in Section 3 Regulations, which implement Section 3. As evidenced by their execution of this Contract, the Parties certify that they are under no contractual or other impediments that would prevent them from complying with Section 3 Regulations.* 

I. The Award Recipient, Contractor, or Development Owner agrees to send to each labor organization or representative of workers with which the Award Recipient, Contractor, or Development Owner has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference and shall set forth the following: (i) minimum number and job titles subject to hire, (ii) availability of apprenticeship and training positions, (iii) qualifications for each (iv) the name and location of the person(s) taking applications for each of the positions, and (v) the anticipated date the work shall begin.

J. The Award Recipient, Contractor, or Development Owner agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in Section 3 Regulations, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor violates the regulations in Section 3 Regulations. The Award Recipient, Contractor, or Development Owner will not subcontract with any subcontractor where the Award Recipient, Contractor, or Development Owner has notice or knowledge that the subcontractor has been found in violation of the regulations in Section 3 Regulations.

K. The Award Recipient, Contractor, or Development Owner will certify that any vacant employment positions, including training positions, that are filled (i) after a contractor is selected but before the contract is executed, and (ii) with persons other than those to whom the regulations of Section 3 Regulations require employment opportunities to be directed, were not filled to circumvent the Award Recipient, Contractor, or Development Owner's obligations under Section 3 Regulations.

L. Noncompliance with HUD's regulations in Section 3 Regulations may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

	_	
Bv:	signature re	auirodl
Dy.	Isignature it	squireur

(Print/type name and title)

Date:\_\_\_\_\_

#### **Pre-Award Compliance Certification**

To be completed and returned to the City of Kenosha.

Project Name:	
Developer/Owner:	
Contractor Name:	
Contractor Address:	
Contact Person:	Phone:
Email:	
Contract Amount (\$):	Date of Contract:

The undersigned owner and general contractor of the above- referenced project is committed to comply with the Section 3 Act and Section 3 regulations. City of Kenosha will work with the owner and the general contractor to ensure compliance, to the greatest extent feasible, through the employment of Section 3 Workers and Targeted Section 3 Workers. Furthermore, the owner hereby agrees to implement at least the following actions directed towards employing Section 3 Workers and Targeted Section 3 Workers:

- (4) The owner or general contractor must submit the Section 3 Year Final Report with the final invoice.
- (5) The general contractor and subcontractors must submit quarterly reports (Labor Hours Tracking Form) on all Section 3 covered projects. Reports are due by the 15<sup>th</sup> of the month following the end of the quarter. Reports shall be submitted to the staff contact for your project.
- (6) The owner or general contractor shall maintain comprehensive documentation of their Section 3 outreach efforts and implementation activities. Section 3 files should be clearly maintained and be available for review by City of Kenosha and/or HUD officials.
- (7) The owner and general contractor will make best efforts to ensure that all Section 3 Business Concerns, and Targeted Section 3 Workers within the Service Area are notified of pending opportunities.
- (8) The owner and general contractor will ensure all subcontractors are aware of their obligation to meet Section 3 benchmarks laid out in City of Kenosha's Section 3 Guidance Manual. Subcontractors will consult with the general contractor instead of the City regarding questions and reporting.

## **Pre-Award Compliance Certification (continued)**

To be completed and returned to the City of Kenosha.

 The owner and general contractor agree to register on HUD's Section 3 Opportunity Portal to help match Section 3 workers to jobs and training opportunities and Section 3 businesses to contracting opportunities (https://hudapps.hud.gov/OpportunityPortal/).

We the undersigned, have read and fully agree to this Section 3 Compliance Certification, and become party to the full implementation of this program.

Owner
Signature:
Title:
Date:

## **General Contractor**

Signature:	 	 	
Title:			

Date: \_\_\_\_\_

## Subcontractor

Signature:	 	 	
Title:	 	 	·····

Date: \_\_\_\_\_

#### **Pre-Award Compliance Certification (continued)**

To be completed and returned to the City of Kenosha.

## **Section 3 Contractor Estimated Project Workforce**

The employment and training component of Section 3 applies to the prime contractor and all subcontractors providing construction services or professional services to City of Kenosha's CDBG, HOME and HOME-ARP Programs. It is the responsibility of the Prime Contractor to enforce these same requirements within any subcontracts.

To be in compliance with HUD's new Section 3 benchmarks, 25% of total labor hours must be Section 3 Workers and 5% of total labor hours must be Targeted Section 3 Workers. Please note the Section 3 Workers and Targeted Section 3 Workers must meet the minimum qualifications for the available job.

#### Complete the table below.

Job Category	Total Estimated Positions	Number of Positions Currently Occupied by Permanent Employees	Number of Vacant Positions	Number of Positions to be Filled with Targeted and/or Sec. 3 Workers	Estimated Hiring Date for Targeted and/or Sec. 3 Workers
Officers/Supervisors					
Professionals					
Technicians					
Office					
Clerical					
Trade					
Journeymen					
Apprentices					
Trainees					
Others					
Others					
Others					
TOTAL					

## Labor Hours Tracking Form Section 3 Workers and Targeted Section 3 Workers

This form or a similar spreadsheet must be submitted to your City of Kenosha staff by the end of each quarter. **All worker hours (Section 3 or not) should be reported.** 

Date:					
Quarter and Year Reporting:					
Project Name:					
Project Address:					
Business Name/Contractor-Subcontractor Name:					
Business/Contractor-Subcontractor Address:					
Name and Position of Person Preparing Report:					

Name of Employee	Worker Classification	Targeted Section 3 Workers Yes or No	Section 3 Workers Yes or No	Labor Hours Worked

## Labor Hours Tracking Form (continued) Section 3 Workers and Targeted Section 3 Workers

Name of Employee	Worker Classification	Targeted Section 3 Workers Yes or No	Section 3 Workers Yes or No	Labor Hours Worked
TOTAL				

#### Labor Hours Tracking Form (continued) Section 3 Workers and Targeted Section 3 Workers

## **Documentation Checklist**

Contractors and subcontractors must maintain documentation to ensure the workers meet the definition of a Section 3 Worker or a Targeted Section 3 Worker, at the time of hire or the first reporting period. Please check off documents provided:

#### For a worker to qualify as a Section 3 Worker, ONE of the following must be maintained:

- A worker's self-certification that their income is below the income limit for the prior calendar year;
- A worker's self-certification of participation in a means-tested program such as public housing or Section 8-assisted housing;
- Certification from a PHA (Public Housing Authority), or the owner or property manager of project-based Section 8-assisted housing, or the administrator of tenant-based Section 8-assisted housing that the worker is a participant in one of their programs;
- An employer's certification that the worker's income from that employer is below the income limit when based on the employer's calculation of what the worker's wage rate would translate to if annualized on a full-time basis; or
- An employer's certification that the worker is employed by a Section 3 business concern.

#### For a worker to qualify as a Targeted Section 3 Worker, ONE of the following must be maintained:

- An employer's confirmation that a worker's residence is within one mile of the work site, or if fewer than 5,000 people live within one mile of a work site, within a circle centered on the work site that is sufficient to encompass a population of 5,000 people according to the most recent U.S. Census;
- An employer's certification that the worker is employed by a Section 3 business concern; or
- A worker's self-certification that the worker is a YouthBuild participant.

## A Section 3 business concern means meeting ONE of the following criteria, documented within the last six-month period:

- Proof that the business is at least 51 percent owned and controlled by low- or very low-income persons;
   Proof that over 75 percent of the labor hours performed for the business over the prior three-month period are performed by Section 3 Workers; or
- Proof that the business is at least 51 percent owned and controlled by current public housing residents or residents who currently live in Section 8-assisted housing.

<b>CONTRACTOR &amp; SUB-CONTRACTOR INFORMATION SHEET</b>					
Project:					
General Contractor: Date of Contract:					
Contact Person: Contract Amount:					
Phone Number: Identification Number:					

Sub-Company Name	Contact Person	Phone	Date of Contract	Contract Amount	Identification Number

	City of Kenosha							
	Secti		Final Report					
Year Reporting:	ients use this form to prov	ide the City the information	ion necessary to report Se	ction 3 Benchmarks.				
Recipient Name:				Project Number:				
Project Name:								
Contact Person:								
Date Report Submitted to City:								
Project Address:								
Phone:								
Section 3 is an initiative to emplo	oy local low- and very lo	ow-income workers and individuals		ortunities for businesses	s owned by low-income			
PART 1: EMPLOYMENT AN	ND TRAINING (All fie							
	A	В	С	D	E			
Job Category	Total Labor Hours Worked on Project	Total Labor Hours Worked on Project by Section 3 Workers	Total Labor Hours Worked on Project by Targeted Section 3 Workers	% of Total Labor Hours Worked by Section 3 Workers *	% of Total Labor Hours Worked by Targeted Section 3 Workers			
Professionals								
Technicians								
Office/Clerical								
Officials/Managers								
Sales								
Craft Workers (skilled)								
Operatives (semiskilled)								
Laborers (unskilled)								
Service Workers								
Other								
Total								
* Includes hours worked by Section 3	Workers and Targeted S	ection 3 Workers						
PART 2: SUMMARY OF EF	FORTS							
Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, Section 3 Workers and Targeted Section 3 Workers. Check all that apply.								
Attempted to recruit low-income workers through: local advertising, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within Kenosha County, or similar methods.								
Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.								

#### HOME Required Bid and Contract Documents Page 59

 Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3

 Business Concerns.

 Coordinated with YouthBuild Programs administered in the area in which the Section 3 covered project is located.

 Provided or connected Section 3 Workers with employment search assistance such as resume writing, interview preparations, or connecting with placement services.

 Engaged in outreach efforts to generate job applicants who are Targeted Section 3 Workers.

 Promoted use of business registries designed to create opportunities for disadvantaged and small businesses.

 Engaged in outreach efforts to identify and secure bids from Section 3 business concerns.

 Outreach, engagement, or referrals with the state one-stop system as defined in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.

 Provided training or apprenticeship opportunities for Section 3 Workers or Targeted Section 3 Workers.

 Is there any supplemental information you would like to provide?



#### APPLICATION FOR SECTION 3 BUSINESS CONCERN CERTIFICATION Form #CDI327 (rev. 5/22)

Dear Business Owner:

This is your invitation to become certified as a Section 3 Business Concern. As part of our effort to promote contract, employment and training opportunities for all residents of the City of Kenosha in compliance with Federal Section 3 regulations, the City of Kenosha Department of City Development has created this simple Section 3 Certification Application process. The City of Kenosha is seeking to extend the benefits of and to promote compliance with Section 3 by:

- 1. Identifying Section 3 Business Concerns;
- 2. Targeting Section 3 Business Concerns for City of Kenosha business opportunity events and educational programs;
- 3. Promoting Section 3 Business Concerns by making available a directory both online and within the agency; and
- 4. Providing access to any training and technical assistance opportunities that may be offered by the City of Kenosha especially for Section 3 Business Concerns.

All applicants seeking this recognition must complete and submit the enclosed Section 3 Business Concern Application form. If your company is qualified because it is owned by one (1) or more Section 3 residents, then complete the form titled, "Section 3 Business Concern – Resident Business Owner(s)." If your company is qualified because 75% or more of labor hours performed in the business over the prior three-month period are performed by Section workers as defined, then complete the form titled, "Section 3 Business Concern – 75%+ Labor Hours Performed by Section 3 workers."

YOU ONLY NEED TO SUBMIT THE BUSINESS CONCERN APPLICATION FORM WITH EITHER the **Resident Business Owner(s) form <u>OR</u> the Section 3 Business Concern – 75%+ Labor Hours Performed by Section 3 Workers form** TO BE ELIGIBLE FOR SECTION 3 CERTIFICATION.

Please answer all questions and sign the forms. Then forward the form via email to:

mmaki@kenosha.org City of Kenosha Department of City Development 625 52<sup>nd</sup> Street, Room 308 Kenosha, WI 53140

If you have any questions or concerns, please feel free to contact Mike Maki with the City of Kenosha Department of City Development at 262-653-4038.

#### SECTION 3 BUSINESS CONCERN APPLICATION

Business Name:				
D.B.A. (if different from above):				
Address:	City:	State/Zip:		
Business Phone:	Fax:			
E-Mail:	Business Website:			
Federal Employer Identification Number:	Owners Social Security Number (if no EIN):			
Contact Person & Title:	Contact Phone:			
Trade Description:       Heating (HVAC)       Electrical         Carpentry       Heating (HVAC)       Electrical         Painting       Masonry Restoration       Asbestos         Plumbing       Roofing       Lead         General Contractor       Concrete       Abatement         Carpet/Flooring       Rubbish Removal/Hauling       Ironwork         Appraisal Services       Landscaping       Demolition				
Date Business was established:	nth/ Day	/ Year		
Type of Business Entity (check one):				
Corporation Partnership Sole Proprietorship Joint Venture				
Limited Liability Corporation (LLC)	Limited Liability Partnership	(LLP)		
Other (Describe):				
Number of employees: Full-time:	Part-time: Co	ontract: Total:		
Section 3 employees: Full-time:	Part-time: Cor	ntract: Total:		
Has Business worked directly for a City of Ke	enosha agency in the past?	YES NO		
Is Your Business certified by the State of Wis	consin Department of Comm	nerce? YES NO		
If YES, check all that apply: MBE WBE Other: Select from <b>ONE</b> of the following three (3) options below that applies:				
At least 51% of the business is owned and controlled by low- or very low-income persons. At least 51% of the business is owned and controlled by current public housing residents or				
residents who currently live in Section 8-assisted housing.				
Over 75% of the labor hours performed for the business over the prior three-month period are				
performed by Section 3 workers.				

#### SECTION 3 BUSINESS CONCERN RESIDENT BUSINESS OWNER(S)

Name of Owner:

Home Address:

#### Name of Business:

#### Percentage of Ownership: \_\_\_\_\_%

Check the appropriate box for your individual income based on your residential address:

Check Box	County Location of Business	Gross Individual Income Max.
	Kenosha County, WI	\$50,150
	Racine County, WI	\$48,350
	Milwaukee County, WI	\$52,850
	Waukesha County, WI	\$52,850
	Ozaukee County, WI	\$52,850
	Walworth County, WI	\$49,300
	Lake County, IL	\$58,350
	McHenry County, IL	\$58,350

I certify that I am a resident of the identified County. My <u>Total Individual Income (TII)</u> last year was less than the amount shown above.

*If the business is owned by more than one (1) Section 3 resident, each should submit a separate Resident Business Owner Verification Form. List each owner below:* 

I certify that the Section 3 residents listed below own at least 51% of the business.

Name	Position	Percentage of Ownership

I certify that the information provided is true, complete and accurate. I understand that businesses that misrepresent themselves as Section 3 business concerns and report false information to the City of Kenosha may have their contracts terminated and be barred from ongoing and future considerations for contracting opportunities. I hereby certify, under penalty of law, that the preceding information is correct to the best of my knowledge.

Print Name:	Date:
Signature:	

#### SECTION 3 BUSINESS CONCERN 75%+ LABOR HOURS PERFORMED BY SECTION 3 WORKERS

A business can also be certified as a Section 3 Business Concern if at least 75% of the labor hours performed for the business over the prior three (3) month period are performed by Section 3 workers as defined by their County of residence, or were Section 3 workers within five (5) years of the date of first employment with the business.

For your firm to be eligible UNDER THIS CRITERIA, you must provide the following information for employees.

\_\_\_\_\_ Total number of labor hours performed for business over prior three (3) months

\_\_\_\_\_ Total number of labor hours performed by Section 3 workers \*

Percent of labor hours performed by Section 3 workers

\* Refer to the Income Chart on page 3 for workers' County of Residence. Section 3 workers are also workers whose income met the income limits within five (5) years of first employment with your business.

I certify that the information provided is true, complete and accurate. I understand that businesses that misrepresent themselves as Section 3 business concerns and report false information to the City of Kenosha may have their contracts terminated and be barred from ongoing and future considerations for contracting opportunities. I hereby certify, under penalty of law, that the preceding information is correct to the best of my knowledge.

Print Name:	Date:
Signature:	

#### Businesses that are certified as Section 3 businesses are valid for one year.

FOR ADMINISTRATIVE USE ONLY
Is the business a Section 3 business concern based upon their certification?
EMPLOYERS MUST RETAIN THIS FORM IN THEIR FILES FOR FIVE YEARS



## APPLICATION FOR SECTION 3 WORKER AND TARGETED SECTION 3 WORKER SELF-CERTIFICATION

Form #CDI329 (rev. 5/22)

Dear Employee:

This is your invitation to become certified as a Section 3 Worker and/or a Targeted Section 3 Worker. As part of our effort to promote contract, employment and training opportunities for area residents in compliance with Federal Section 3 regulations, the City of Kenosha Department of City Development has created this simple Section 3 Worker and Targeted Section 3 Worker Self-Certification Application process.

The purpose of HUD's Section 3 program is to provide employment, training and contracting opportunities to low-income individuals, particularly those who are recipients of government assistance for housing or other public assistance programs. **Your response is voluntary, confidential and has no effect on your employment.** 

The City of Kenosha is seeking to extend the benefits of and to promote compliance with Section 3 by:

- 1. Identifying Section 3 Residents;
- 2. Maintaining a list of qualified Section 3 and Targeted Section 3 Workers; and
- 3. Providing access to any training and technical assistance opportunities that may be offered for Section 3 workers.

All applicants seeking this recognition must complete and submit the enclosed Section 3 Worker and Targeted Section 3 Worker Self-Certification Application form to the City of Kenosha.

Please answer all questions and sign the forms.

Forward the form via email or vial US Mail to:

mmaki@kenosha.org City of Kenosha Department of City Development 625 52<sup>nd</sup> Street, Room 308 Kenosha, WI 53140

If you have any questions or concerns, please feel free to contact Mike Maki with the City of Kenosha Department of City Development at 262-653-4038.

#### SECTION 3 WORKER AND TARGETED SECTION 3 WORKER SELF-CERTIFICATION FORM

A Section 3 worker seeking certification shall self-certify and submit this form to the City of Kenosha that the person is a Section 3 worker or Targeted Section 3 worker as defined in 24 CFR Part 75 and shown on this form. This form will also be used to list skills and/or professional certifications of workers that can be provided to companies seeking new workers for Section 3 projects in the City of Kenosha.

Resident Name:		
Home Address:		
Name of Employe	r (if employed):	

1. Are you resident of public housing or a Housing Choice Voucher Holder (Section 8)?

YES NO

2. Check the appropriate box below for your individual income based on your residential address:

Check Box	County Location of Business	Gross Individual Income Max.
	Kenosha County, WI	\$50,150
	Racine County, WI	\$48,350
	Milwaukee County, WI	\$52,850
	Waukesha County, WI	\$52,850
	Ozaukee County, WI	\$52,850
	Walworth County, WI	\$49,300
	Lake County, IL	\$58,350
	McHenry County, IL	\$58,350

#### 3. Select from *ONE* of the following two options below:

I qualify as a:

Section 3 worker (as defined on page 4 of this form.

Targeted Section 3 worker (as defined on page 4 of this form.

## CONTINUE ONTO PAGE 3 TO LIST SKILLS, AND/OR PROFESSIONAL CERTIFICATIONS YOU HAVE BEEN EMPLOYED IN OR CONTRACTED TO DO FOR OTHER EMPLOYERS.

#### **General Questions**

Do you read and speak English? See No
Do you have a High School Diploma? Yes No
Do you have a College, Trade or Technical School diploma or certifications? Yes No Please list degree or certifications:
Do you have a Driver's License? Yes No
Do you have Commercial Drivers License? Yes No
Check the Skills, Trades and/or Professions you have been employed in or contracted to do for others:
Drywall Hanging Drywall Finishing Interior Painting Framing Welding
HVAC Electrical Interior Plumbing Siding Metal/ Steel Work
Cabinet Hanging Trim/ Carpentry Heavy Equipment Operator Roofing
Exterior Plumbing Exterior Framing Stucoo Concrete/ Asphalt Work
Masonry Construction Cleaning Landscaping Fencing Cleaning
Window/ Door Replacment Customer Service Receptionist Teaching/ Training
Sales Administrative/ Clerical Data Entry Lead Abatement Asbestos Abatement
HAZWOPER (Hazardous Waste Operations and Emergency Response)
Other
I am interested in:  Training Opportunities Employment Opportunities Both

#### **Employee Certification**

I certify that the information provided is true, complete and accurate. I hereby certify, under penalty of law, that the preceding information is correct to the best of my knowledge.

Print Name:	Date Hired:
Signature:	Date:

#### **Definitions per Section 24 CFR Part 75**

#### Section 3 Worker:

- A low or very low-income resident (the worker's income for the previous calendar year is below the income limit established by HUD); or
- Employed by a Section 3 business concern; or
- A YouthBuild Participant

#### Targeted Section 3 Worker:

- Employed by a Section 3 business concern; or
- Currently meets, or when hired, met at least one of the following categories as documented within the past five (5) years:
  - Living within the service area of the project (Map of the service area provided by City of Kenosha); or
  - A YouthBuild participant.

FOR ADMINISTRATIVE USE ONLY
FOR ADMINISTRATIVE USE ONLY
Is the employee a Section 3 worker based upon their self-certification?
<b>YES NO</b> Is the employee a Targeted Section 3 worker based upon their self-certification?
Was this applicant hired a result of the Section 3 project? YES NO
If yes, what is the name of the company?
What was the date of hire?
EMPLOYERS MUST RETAIN THIS FORM IN THEIR FILES FOR FIVE YEARS

#### CONFLICT OF INTEREST AND LOBBYING CERTIFICATION

By applying for CDBG funds, the Applicant certifies that:

No member, officer or employee of the applicant, or its designee or agents, no member of the governing body of the locality in which the program is situated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the program during his/her tenure or for one year thereafter, shall have any interest, direct, or indirect, in any contract or subcontract, or the process thereof, for work to be performed in connection with the program assisted under the Grant, and that it shall incorporate, or cause to be incorporated, in all such contracts or subcontracts a provision prohibiting such interest pursuant to the purposes of this certification.

The Applicant certifies, that in accordance with Section 319 of Public Law 101-121, to the best of his or her knowledge and belief that:

No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative contract, and the extension, continuation, renewals, amendment, or modifications of any federal contract, grant loan, or cooperative contract.

If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative contract, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.

Name of Organization:

Name of Applicant's Authorized Official:

Authorized Official's Title:

Signature of Authorized Official: