Form	
Α	B-200

Alcohol Beverage License Application

For Municipal Use Only Municipality

.

License Period

cense(s) Requested: (up to two boxes ma	y be checked)	Fees	
Class "A" Beer \$	Class "B" Beer \$	License Fees	\$
] "Class A" Liquor \$	□ "Class B" Liquor \$	Background Check Fee	\$
] "Class A" Liquor (cider only) \$	Reserve "Class B" Liquor \$	Publication Fee	\$
] "Class C" Liquor (wine only) \$		Total Fees	\$
Part A: Premises/Business Information	on		
I. Legal Business Name (individual name if sole p	roprietorship)		
2. Business Trade Name or DBA			
3. FEIN	4. Wisconsin Seller's Pe	ermit Number	
5. Entity Type (check one) Sole Proprietor Partnership 6. State of Organization	Limited Liability Company Company	orporation DFI Registrat	ofit Organizatio on Number
9. Premises Address		1	
10. City		11. State 12. Zip Code	
13. County	14. Governing Municipality: City Towr of:	n 🗌 Village 15. Alderman	ic District
16. Premises Phone	17. Premises Email	18. Website	
	or buildings where alcohol beverages are produce or buildings where alcohol beverages are produce g, including living quarters. Authorized alcohol bev ion. Attach a map or diagram and additional sheet	erage activities and storage	

20. Mailing Address (if different from premises addres	s)				
21. City	22. State	23. Zip Code			
Part B: Questions		· · ·			
1. Has the business (sole proprietorship, partne violating federal or state laws or local ordinar				Yes	🗌 No
If yes, list the details of violation below. Attac	h additional sheets if necessary.				
Law/Ordinance Violated	Location	Location Trial Date			
Penalty Imposed		Was sentence cor	npleted?	Yes	🗌 No
Law/Ordinance Violated	Location		Trial Date		
Penalty Imposed		Was sentence cor	npleted?	Yes	🗌 No
AB 200 (N 02 24)	4		10/:	nain Denestra	ant of Dougnu

2. Are charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol Yes No beverages.								
If yes, describe the nature and status of pending charges using the space below. Attach additional sheets as needed.								
 Is the applicant business or any of its individuals or entities a restricted inv If yes, provide the name of the restri 	estor with an	y intere	st in an alcohol b	everage pi	roducer or distribu		Yes 🗌 No	
4. Is the applicant business owned by a	nother busine	ss entit	v?				Yes 🕅 No	
If yes, provide the name(s) and FEIN			ntity owners below	v. Attach ac	ditional sheets as			
4a. Name of Business Entity			4b. Busines	s Entity FEI	Ν			
5. Have the partners, agent, or sole pro this license period? Submit proof of c							Yes 🗌 No	
6. Is the applicant business indebted to	any wholesal	er beyo	nd 15 days for be	er or 30 da	iys for liquor/wine?	·	Yes 🗌 No	
7. Does the applicant business owe pas	t due municip	al prop	erty taxes, assess	sments, or	other fees?		Yes 🗌 No	
Part C: Individual Information								
List the name, title, and phone number for ea Question 4: sole proprietor, all officers, direct managers, and agent of a limited liability com	ors, and agent	of a corp	oration or nonprofit	organization				
Include Form AB-100 for each person listed b		tions and	d LLCs must appoir		y including Form AB			
Last Name	First Name			Title		Phone		
Part D: Attestation	-					1		
One of the following must sign and attes								
	ral partner of	•		e corporate		e member of		
READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to allow inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.								
Last Name			First Name				M.I.	
Title		Email				Phone		
Signature				Date				
Part E: For Clerk Use Only						D. t. t'		
Date Application Was Filed With Clerk Lice	nse Number			Date	License Granted	Date Licens	se issued	
Signature of Clerk/Deputy Clerk				I	Date Provisional	License Issue	d (if applicable)	

Form AB-200 Instructions

Alcohol Beverage License Application

Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

Specific Instructions

License Period:

• Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of issuance.

License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*, and *Fact Sheet 3101*, <u>Licenses for Retail Sale of Alcohol Beverages</u>.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the entity when it is registered with DFI. It can be located using the Department of Financial Institution's <u>Corporate Records Search</u>.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

• Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

Part B: Questions

• Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier. The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
 - The applicant is renewing a license, or
 - Within the past two years:
 - a. The applicant held a manager's or operator's (bartender) license.
 - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

Note: To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

Example: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

Part D: Attestation

• Read the attestation carefully, then sign and date.

Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

Completion and Submission of AB-200

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form **AB-200**, include:
 - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individuals listed in part C
 - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
 - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

Note: See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

· All other information and documents required by your municipality

NOTE: You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

Open Records

This application is an open record under Wisconsin law (sec. <u>19.35</u>, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages Fact Sheet 3103 Licensed or Permitted Premises Description Fact Sheet 3116 Reserve "Class B" Liquor Licenses Fact Sheet 3118 "Class B" Liquor License Quotas

Form AB-100	Alcohol Beverage Individual Questionnaire	Date			
All individuals involved in the alcohol beverage business must complete this form, including:					

- all officers, directors, and agent of a corporation or nonprofit organization
 members and agent of a limited liability company
- sole proprietor all partners of a partnership

Your alcohol beverage application or renewal is not complete until all required Individual Questionnaires are submitted.

Part A: Business Information							
1. Legal Business Name (individual name if sole proprietor)							
2. Business Trade Name or	DBA						
3. Entity Type (check one)							
Sole Proprietor	Partnership	Limited Liability Company	Corporation	Nonprofit Organization			

Part B: Individual Information							
1. Last Name		2. First Name			3. M.I.		
4. Relationship to Business (Title)	5. Email			6. Phone			
7. Home Address							
8. City		9. State	10. Zip Code	11. Date of B	irth		
12. Drivers License/State ID Number			13. Drivers License/State ID St	ate of Issuance			

Part C	Part C: Address History								
1. Do yo	1. Do you currently reside in Wisconsin? Yes No								
If yes	If yes to 1 above, how long have you continuously lived in Wisconsin prior to the date of application?								
2. List ir	n chronological ord	ler all of your ac	dresses within	the last 5	years. At	tach additional s	heets if necessary	Ι.	
Previous	Address 1			City			State	Zip Code	
Previous Address 2			City	City			Zip Code		
Previous Address 3			City	City			Zip Code		
Previous	Address 4			City	City			Zip Code	
Previous Address 5		City	City			Zip Code			
3. List a	Il states and count	ies you have liv	ed in as an adu	lt. Attach	additiona	I sheets if neces	sary.		
State	County	State	County		State	County	State	County	
State	County	State	County		State	County	State	County	

Part D: Criminal History								
for violation of any federal, Wisconsin, or another state	 Have you ever been convicted of any offenses (excluding traffic offenses unless related to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances? Yes No If yes to question 1, please list details of each conviction below. Attach additional sheets as needed. 							
Law/Ordinance Violated		Conviction I	Date					
Penalty Imposed		Was sentence completed?	. Yes	🗌 No				
Law/Ordinance Violated	Location		Conviction I	Date				
Penalty Imposed		Was sentence completed?	. Yes	🗌 No				
Law/Ordinance Violated	Location		Conviction I	Date				
Penalty Imposed		Was sentence completed?	. 🗌 Yes	No				
2. Are charges for any offenses currently pending agains beverages) for violation of any federal, Wisconsin, or a ordinances?			I . 🗌 Yes	🗌 No				
If yes to question 2, describe nature and status of per sheets as needed.	nding charges using th	ne space below. Attach additional						

Part E: Attestation

READ CAREFULLY BEFORE SIGNING: Under penalty of law, I have answered each of the above questions completely and truthfully. I certify that I am not prohibited from participating in this business due to any involvement in another tier of the alcohol beverage industry as a restricted investor. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Signature Date

Form AB-100 Instructions

Alcohol Beverage Individual Questionnaire

Who must complete Form AB-100?

All persons involved in the applicant business who are sole proprietors, partners of a partnership, officers, directors, members, managers, or agents must complete and submit Form AB-100. These persons are identified in Form AB-101, *Alcohol Beverage Appointment of Agent,* Form AB-200, *Alcohol Beverage License Application,* or an alcohol beverage permit application.

Where do I submit Form AB-100?

If applying for a retail alcohol beverage license, submit this form with Form AB-200, *Alcohol Beverage License Application* to the clerk of the municipality in which the applicant business is located.

If applying for an alcohol beverage permit, submit this form as required by the permit application to the Division of Alcohol Beverages.

To update the agent for an alcohol beverage license or permit, submit this form with Form AB-101, *Alcohol Beverage Appointment of Agent* to the issuer of the authorization.

Specific Instructions

Date

• Date the form in the top right corner.

Part A: Business Information

- Box 1: Enter the legal business name. If sole proprietor, enter the individual's first and last name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on any license application (Form AB-200) or existing license certificate.

Part B: Individual Information

- Provide all requested personal information.
- Box 4: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Director, Chief Financial Officer, Member, Partner, Agent, etc.

Part C: Address History

• Question 2: List in chronological order all residential addresses within the last five years starting with your most recent address.

Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance), and include detailed descriptions of any violations of law involving alcohol beverages (OWI, disorderly conduct, etc.).
- Question 2: Disclose any pending charges against you in any jurisdiction and include detailed descriptions of any charges involving alcohol beverages.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding alcohol beverage license and permits under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license.

Part E: Attestation

• Read the attestation carefully, then sign and date.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- Submission of the retail license application and supplemental forms
- Availability and cost of certain licenses.

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages Fact Sheet 3103 Licensed or Permitted Premises Description Fact Sheet 3116 Reserve "Class B" Liquor Licenses Fact Sheet 3118 "Class B" Liquor License Quotas

Form

Agent Type (check one)	
Original (no fee)	Successor (\$10 fee for municipal licensees only)

Part A: Business Information	
1. Legal Business Name (individual name if sole proprietor)	
2. Business Trade Name or DBA	
3. Entity Type (check one)	ny Corporation Nonprofit Organization
4. Alcohol Beverage Business Authorization (check one)	5. If successor agent, provide State Permit or Municipal Retail License Number
Municipal Retail License State Permit	
6. Describe the reason for appointing a successor agent, if success	sor is checked above.

Part B: Agent Information							
1. Last Name	2. First Name				3. M.I.		
4. Email				5. Phone			
6. Home Address				·			
7. City	8. State	9. Z	Zip Code	10. Age			
11. Drivers License/State ID Number			12. Drivers License/State ID S	State of Issuance	•		

Part C: Agent Questions	
1. Have you satisfied the responsible beverage server training requirement? Yes Submit proof of completion.	🗌 No
2. Have you completed Form AB-100, <i>Alcohol Beverage Individual Questionnaire</i> ?	No No
3. Have you been a Wisconsin resident for at least 90 continuous days? Yes See instructions for exceptions.	No

 $\textit{Continued} \rightarrow$

Part D: Business Attestation

READ CAREFULLY BEFORE SIGNING: I, the **Undersigned**, authorize the above-named individual to act for the above-named corporation, nonprofit organization, or limited liability company with full authority and control of the premises and of all alcohol beverage activities on such premises. I certify that I am authorized by the above-named entity to authorize this individual to act on behalf of the entity. If I am appointing a successor agent, I rescind all previous agent appointments for this premises. Further, I understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.

Last Name		First Name			M.I.
Title	Email			Phone	
Signature			Date		

Part E: Agent Attestation READ CAREFULLY BEFORE SIGNING: I, the Agent, herby accept this appointment as agent for the above-named corporation, nonprofit organization, or limited liability company and assume full responsibility for the conduct of all alcohol beverage activities on the premises for the above-named business. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted. Last Name First Name M.I. Signature Date

Form AB-101 Instructions

Alcohol Beverage Appointment of Agent

Who must complete Form AB-101?

State law requires corporations, limited liability companies (LLCs), and nonprofit organizations to appoint an agent that takes responsibility for the licensed or permitted premises.

Use this form to appoint an agent for a new premises or to appoint a successor agent when there is a change before the license or permit is up for renewal.

Where do I submit Form AB-101?

Submit Form AB-101 to the appropriate issuing authority, either the clerk of the municipality in which the business or organization is located, or the Division of Alcohol Beverages.

Form AB-101 may be submitted with a license or permit application or at any time to indicate there is a change in agent prior to the license or permit renewal period.

Specific Instructions

Date:

• Date the form in the top right corner.

Agent Type:

- Select original appointment if you are applying for your license or permit for the first time or are renewing a license or permit.
- Select successor agent if you are reporting a change of agent during the licensing or permitting period.

Part A: Business Information

- Box 1: Enter the legal business name. If a sole-proprietorship, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as", if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license or permit application.

- Box 4: Select which alcohol beverage authorization you hold or are applying for.
- Box 5: For appointment of a successor agent, enter your state permit number (15-digit Wisconsin Tax ID number) or municipal retail license number (if applicable) for which you are appointing a successor agent. If you do not have a municipal retail license number, provide any applicable identifier (e.g., store number or location).
- Box 6: For appointment of a successor agent, describe the reason for the change in agent.

Part B: Agent Information

• Provide all requested personal information.

Part C: Agent Questions

- Question 1: Wisconsin law requires all agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
 - \circ The applicant is renewing a municipal alcohol beverage retail license, or
 - Within the past two years:
 - a. The applicant held a manager's or operator's (bartender) license.
 - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued retail alcohol beverage license in Wisconsin.

- Some agents for state permittees are exempt from responsible beverage server course requirements. The following permittees are exempt from RBS course requirements: Alcohol Beverage Warehouse, Industrial Fermented Malt Beverages, Wholesalers, Manufacturers, Rectifiers, Direct Wine Shippers, Wholesale Alcohol, Medicinal Alcohol, Industrial Alcohol, and Industrial Wine.
- If you are applying to be the agent of one of these exempt permittees, answer "yes" to Question 1.
- To learn about your responsibility to complete the responsible beverage server requirement, review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.
- Question 2: Appointed agents must submit Form AB-100, *Alcohol Beverage Individual Questionnaire,* in addition to this form.
- Question 3: Appointed agents must be Wisconsin residents for at least 90 continuous days prior to the date of application, except for direct wine shipper permittees.

Part D: Business Attestation

• An authorized representative should sign, date, and provide requested personal information on behalf of the business.

Part E: Agent Attestation

• The agent being appointed should read the attestation carefully, then sign and date.

Assistance

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov) Write: DORAlcohol@wisconsin.gov Call: (608) 264-4573